

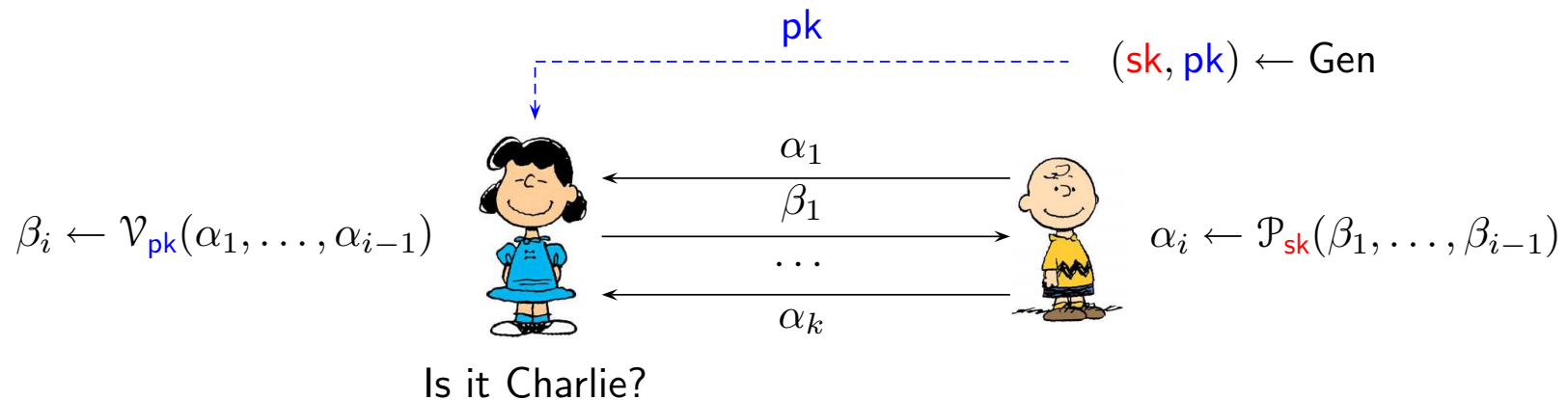
MTAT.07.003 CRYPTOLOGY II

## **Entity Authentication**

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# Formal Syntax

# Entity authentication



- ▷ The communication between the prover and verifier must be authentic.
- ▷ To establish electronic identity, Charlie must generate  $(pk, sk) \leftarrow \text{Gen}$  and convinces others that the public information  $pk$  represents him.
- ▷ The entity authentication protocol must convince the verifier that his or her opponent possesses the secret  $sk$ .
- ▷ An entity authentication protocol is *functional* if an honest verifier  $\mathcal{V}_{pk}$  always accepts an honest prover  $\mathcal{P}_{sk}$ .

# Classical impossibility results

**Inherent limitations.** Entity authentication is impossible

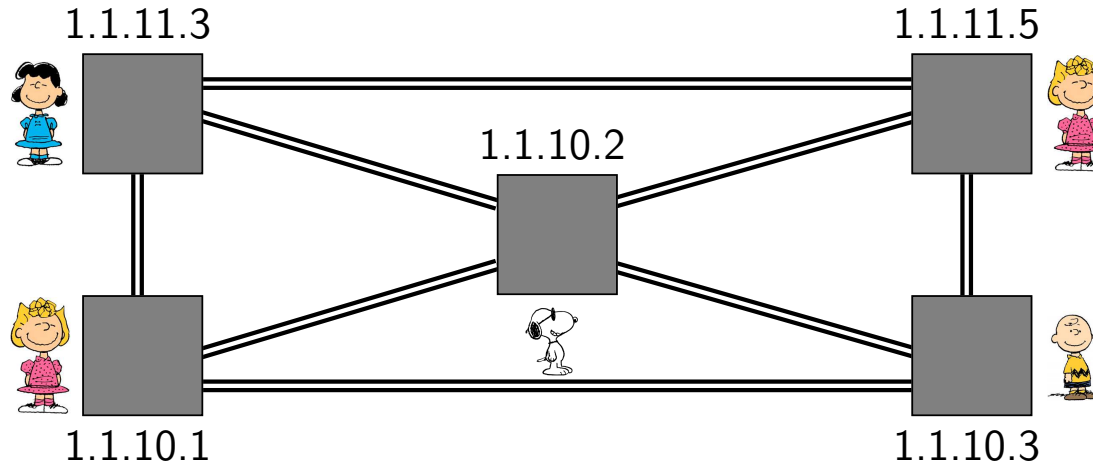
- (i) **if** authenticated communication is unaffordable in the setup phase;
- (ii) **if** authenticated communication is unaffordable in the second phase.

**Proof.** Man-in-the-middle attacks. Chess-master attacks.

## Conclusions

- ▷ It is impossible to establish legal identity without physical measures.
- ▷ Any smart card is susceptible to physical attacks regardless of the cryptographic countermeasures used to authenticate transactions.
- ▷ Secure e-banking is impossible if the user does not have full control over the computing environment (secure e-banking is practically impossible).

## Physical and legal identities



- ▷ Entity authentication is possible only if all participants have set up a network with authenticated communication links.
- ▷ A role of an entity authentication protocol is to establish a convincing bound between physical network address and legal identities.
- ▷ A same legal identity can be in many physical locations and move from one physical node to another node.

# Challenge-Response Paradigm

# Salted hashing

## Global setup:

Authentication server  $\mathcal{V}$  outputs a description of a hash function  $h$ .

## Entity creation:

A party  $\mathcal{P}$  chooses a password  $\mathbf{sk} \xleftarrow{u} \{0, 1\}^\ell$  and a nonce  $r \xleftarrow{u} \{0, 1\}^k$ . The public authentication information is  $\mathbf{pk} = (r, c)$  where  $c \leftarrow h(\mathbf{sk}, r)$ .

## Entity authentication:

To authenticate him- or herself,  $\mathcal{P}$  releases  $\mathbf{sk}$  to the server  $\mathcal{V}$  who verifies that the hash value is correctly computed, i.e.,  $c = h(\mathbf{sk}, r)$ .

**Theorem.** If  $h$  is  $(t, \varepsilon)$ -secure one-way function, then no  $t$ -time adversary  $\mathcal{A}$  without  $\mathbf{sk}$  can succeed in the protocol with probability more than  $\varepsilon$ .

- ▷ There are no secure one-way functions for practical sizes of  $\mathbf{sk}$ .
- ▷ A malicious server can completely break the security.

# RSA based entity authentication

## Global setup:

Authentication server  $\mathcal{V}$  fixes the minimal size of RSA keys.

## Entity creation:

A party  $\mathcal{P}$  runs a RSA key generation algorithm  $(pk, sk) \leftarrow \text{Gen}_{\text{rsa}}$  and outputs the public key  $pk$  as the authenticating information.

## Entity authentication:

1.  $\mathcal{V}$  creates a challenge  $c \leftarrow \text{Enc}_{pk}(m)$  for  $m \xleftarrow{u} \mathcal{M}$  and sends  $c$  to  $\mathcal{P}$ .
2.  $\mathcal{P}$  sends back  $\bar{m} \leftarrow \text{Dec}_{sk}(c)$ .
3.  $\mathcal{V}$  accepts the proof if  $m = \bar{m}$ .

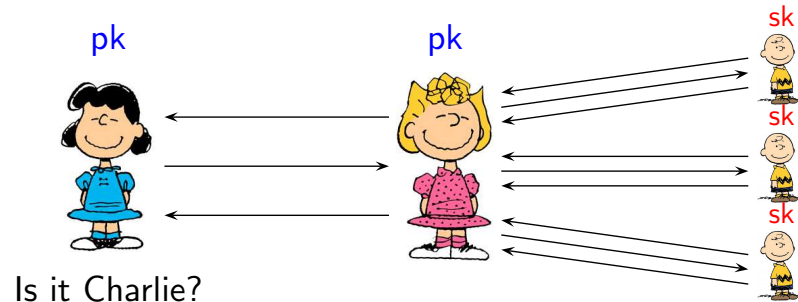
This protocol can be generalised for any public key cryptosystem.

The general form of this protocol is known as *challenge-response protocol*.

This mechanism provides explicit security guarantees in the TLS protocol.



## The most powerful attack model



Consider a setting, where an adversary  $\mathcal{A}$  can impersonate verifier  $\mathcal{V}$

- ▷ The adversary  $\mathcal{A}$  can execute several protocol instances with the honest prover  $\mathcal{P}$  in parallel to spoof the challenge protocol.
- ▷ The adversary  $\mathcal{A}$  may use protocol messages arbitrarily as long as  $\mathcal{A}$  does not conduct the crossmaster attack.

Let us denote the corresponding success probability by

$$\text{Adv}^{\text{ent-auth}}(\mathcal{A}) = \Pr [(\text{pk}, \text{sk}) \leftarrow \text{Gen} : \mathcal{V}^{\mathcal{A}} = 1] .$$

## Corresponding security guarantees

**Theorem.** If a cryptosystem used in the challenge-response protocol is  $(t, \varepsilon)$ -IND-CCA2 secure, then for any  $t$ -time adversary  $\mathcal{A}$  the corresponding success probability  $\text{Adv}^{\text{ent-auth}}(\mathcal{A}) \leq \frac{1}{|\mathcal{M}|} + \varepsilon$ .

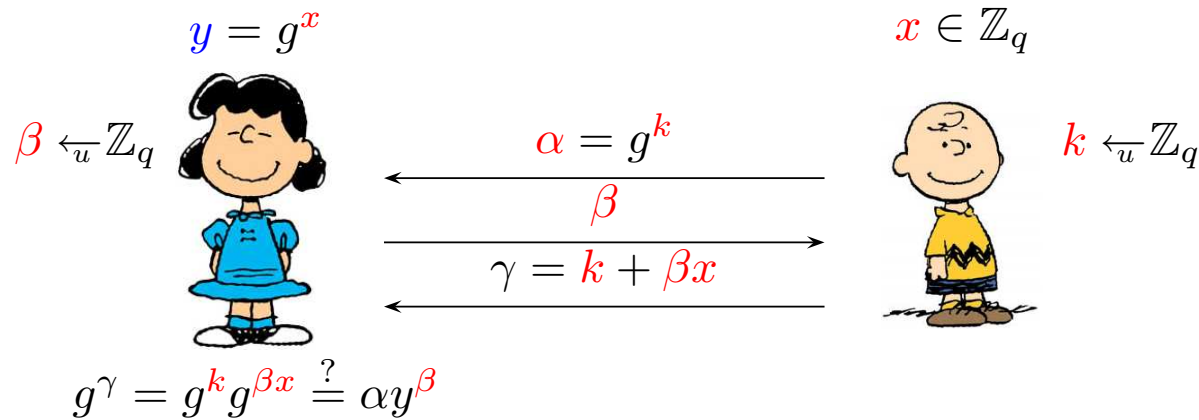
**Proof.** A honest prover acts as a decryption oracle.

### The nature of the protocol

- ▷ The protocol proves only that the prover has access to the decryption oracle and therefore the prover must *possess* the secret key *sk*.
- ▷ The possession of the secret key *sk* does not imply the *knowledge* of it. For example, the secret key *sk* might be hardwired into a smart card.
- ▷ Usually, the inability to decrypt is a strictly stronger security requirement than the ability to find the secret key.
- ▷ *Knowledge* is permanent whereas *possession* can be temporal.

# Proofs of knowledge

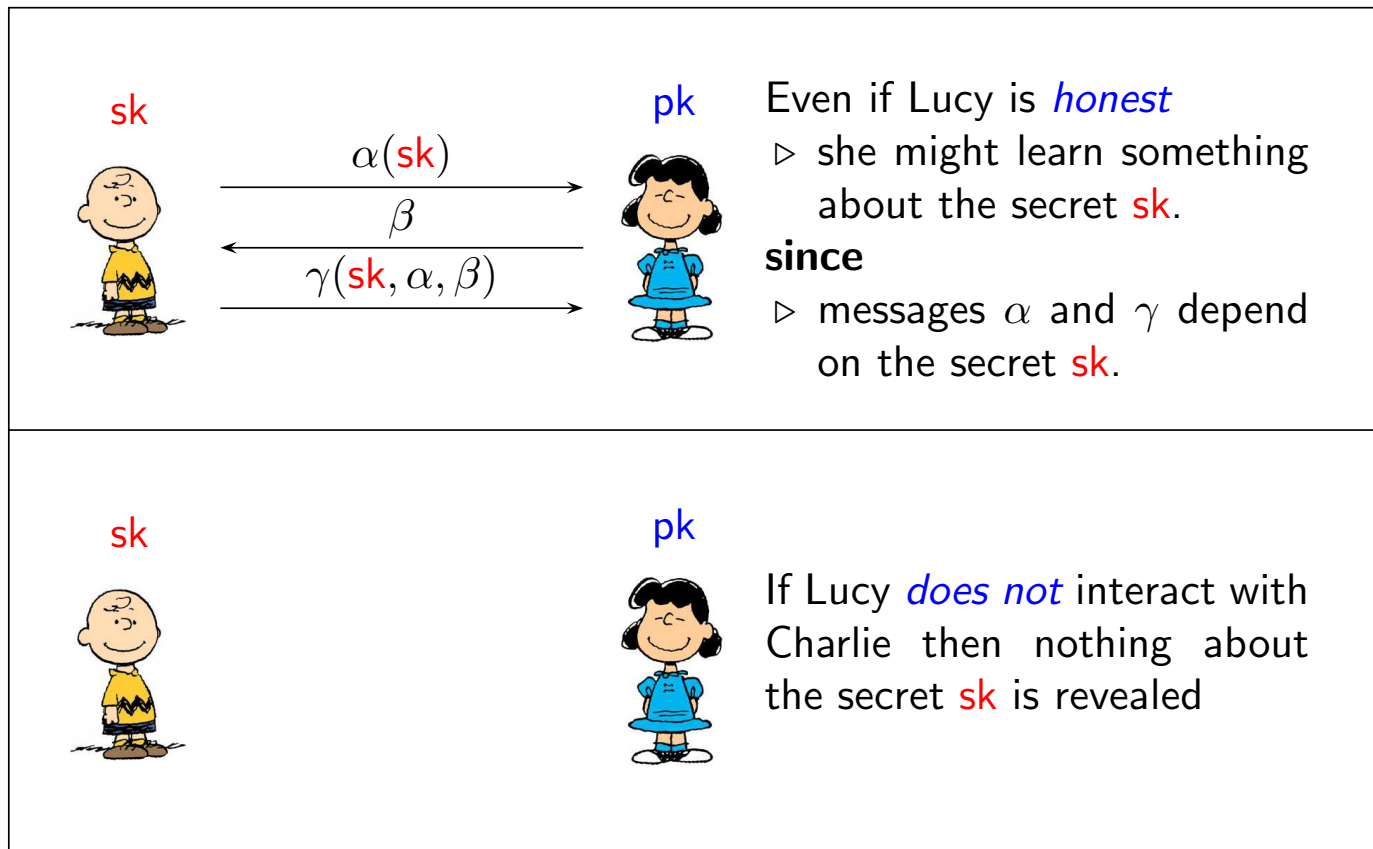
# Schnorr identification protocol



The group  $\mathbb{G} = \langle g \rangle$  must be a DL group with a prime cardinality  $q$ .

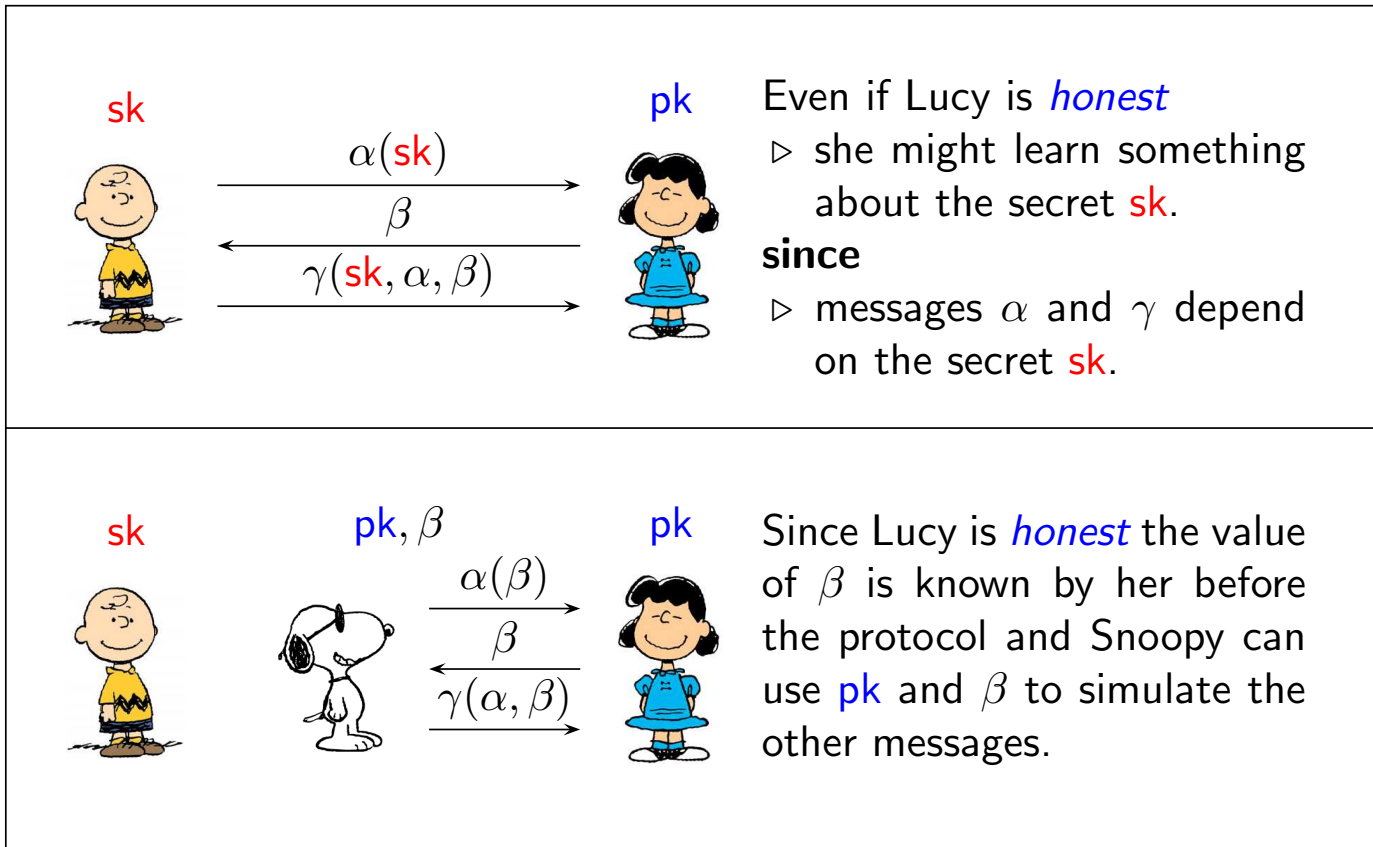
- ▷ The secret key  $x$  is the discrete logarithm of  $y$ .
- ▷ The verifier  $\mathcal{V}$  is assumed to be semi-honest.
- ▷ The prover  $\mathcal{P}$  is assumed to be potentially malicious.
- ▷ We consider only security in the standalone setting.

# Zero-knowledge principle



Lucy should be equally *successful* in both experiments.

# Simulation principle



Lucy should not be able to distinguish between these two experiments.

## Zero-knowledge property

**Theorem.** If a  $t$ -time verifier  $\mathcal{V}_*$  is semi-honest in the Schnorr identification protocol, then there exists  $t + O(1)$ -algorithm  $\mathcal{V}_\circ$  that has the same output distribution as  $\mathcal{V}_*$  but do not interact with the prover  $\mathcal{P}$ .

### Proof.

Consider a code wrapper  $\mathcal{S}$  that chooses  $\beta \xleftarrow{u} \mathbb{Z}_q$  and  $\gamma \xleftarrow{u} \mathbb{Z}_q$  and computes  $\alpha \leftarrow g^\gamma \cdot y^{-\beta}$  and outputs whatever  $\mathcal{V}_*$  outputs on the transcript  $(\alpha, \beta, \gamma)$ .

- ▷ If  $x \neq 0$ , then  $\gamma = \beta + xk$  has indeed a uniform distribution.
- ▷ For fixed  $\beta$  and  $\gamma$ , there exist only a single consistent value of  $\alpha$ .

□

**Rationale:** Semi-honest verifier learns nothing from the interaction with the prover. The latter is known as *zero-knowledge* property.

## Knowledge-extraction lemma

Given two runs with a coinciding prefix  $\alpha$

$$\begin{array}{ccc} & \alpha = g^k & \\ \beta \swarrow & & \searrow \beta' \\ \gamma = k + \beta x & & \gamma' = k + \beta' x \end{array}$$

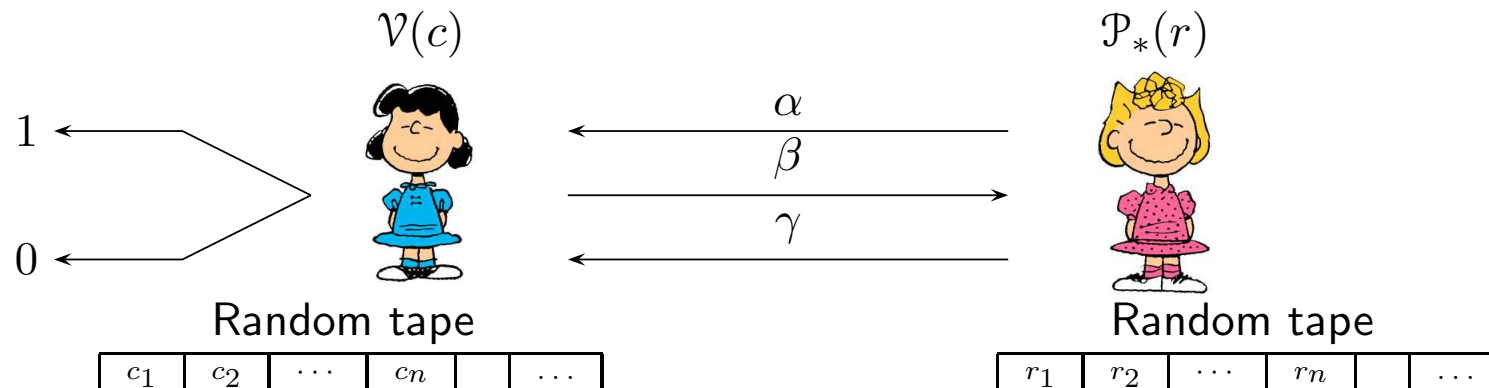
We can extract the secret key  $x = \frac{\gamma - \gamma'}{\beta - \beta'}$ .

This property is known as *special-soundness*.

- ▷ If adversary  $\mathcal{A}$  succeeds with probability 1, then we can extract the secret key  $x$  by rewinding  $\mathcal{A}$  to get two runs with a coinciding prefix  $\alpha$ .
- ▷ If adversary  $\mathcal{A}$  succeeds with a non-zero probability  $\varepsilon$ , then we must use more advanced knowledge-extraction techniques.



## Find two ones in a row

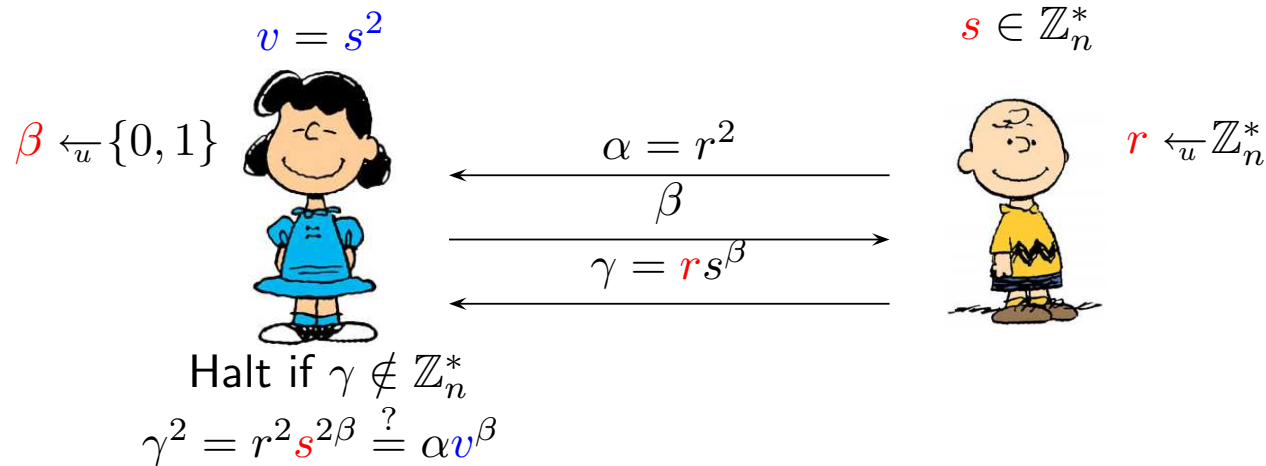


Let  $A(r, c)$  be the output of the honest verifier  $\mathcal{V}(c)$  that interacts with a potentially malicious prover  $\mathcal{P}_*(r)$ .

- ▷ Then all matrix elements in the same row  $A(r, \cdot)$  lead to same  $\alpha$  value.
- ▷ To extract the secret key **sk**, we must find two ones in the same row.
- ▷ We can compute the entries of the matrix on the fly.

We derive the corresponding security guarantees a *bit later*.

# Modified Fiat-Shamir identification protocol



All computations are done in  $\mathbb{Z}_n$ , where  $n$  is an RSA modulus.

- ▷ The secret key  $s$  is a square root of  $v$ .
- ▷ The verifier  $\mathcal{V}$  is assumed to be semi-honest.
- ▷ The prover  $\mathcal{P}$  is assumed to be potentially malicious.
- ▷ We consider only security in the standalone setting.

## Zero-knowledge property

**Theorem.** If a  $t$ -time verifier  $\mathcal{V}_*$  is semi-honest in the modified Fiat-Shamir identification protocol, then there exists  $t + O(1)$ -algorithm  $\mathcal{V}_\circ$  that has the same output distribution as  $\mathcal{V}_*$  but do not interact with the prover  $\mathcal{P}$ .

### Proof.

Consider a code wrapper  $\mathcal{S}$  that chooses  $\beta \xleftarrow{u} \{0, 1\}$ ,  $\gamma \xleftarrow{u} \mathbb{Z}_n^*$ , computes  $\alpha \leftarrow v^{-\beta} \cdot \gamma^2$  and outputs whatever  $\mathcal{V}_*$  outputs on the transcript  $(\alpha, \beta, \gamma)$ .

- ▷ Since  $s$  is invertible, we can prove that  $s \cdot \mathbb{Z}_n^* = \mathbb{Z}_n^*$  and  $s^2 \cdot \mathbb{Z}_n^* = \mathbb{Z}_n^*$ .  
As a result,  $\gamma$  is independent of  $\beta$  and has indeed a uniform distribution.
- ▷ For fixed  $\beta$  and  $\gamma$ , there exist only a single consistent value of  $\alpha$ .

□

## Knowledge-extraction lemma

**Theorem.** The Fiat-Shamir protocol is specially sound.

**Proof.** Assume that a prover  $\mathcal{P}_*$  succeeds for both challenges  $\beta \in \{0, 1\}$ :

$$\gamma_0^2 = \alpha, \quad \gamma_1^2 = \alpha v \quad \Longrightarrow \quad \frac{\gamma_1}{\gamma_0} = \sqrt{v} .$$

The corresponding extractor construction  $\mathcal{K}$ :

- ▷ Choose random coins  $r$  for  $\mathcal{P}_*$ .
- ▷ Run the protocol with  $\beta = 0$  and record  $\gamma_0$
- ▷ Run the protocol with  $\beta = 1$  and record  $\gamma_1$
- ▷ Return  $\zeta = \frac{\gamma_1}{\gamma_0}$

## Bound on success probability

**Theorem.** Let  $v$  and  $n$  be fixed. If a potentially malicious prover  $\mathcal{P}_*$  succeeds in the modified Fiat-Shamir protocol with probability  $\varepsilon > \frac{1}{2}$ , then the knowledge extractor  $\mathcal{K}^{\mathcal{P}_*}$  returns  $\sqrt{v}$  with probability  $\varepsilon - \frac{1}{2}$ .

**Proof.** Consider the success matrix  $A(r, c)$  as before. Let  $p_1$  denote the fraction rows that contain only single one and  $p_2$  the fraction of rows that contain two ones. Then evidently  $p_1 + p_2 \leq 1$  and  $\frac{p_1}{2} + p_2 \geq \varepsilon$  and thus we can establish  $p_2 \geq \varepsilon - \frac{1}{2}$ .  $\square$

**Rationale:** The knowledge extraction succeeds in general only if the success probability of  $\mathcal{P}_*$  is above  $\frac{1}{2}$ . The value  $\kappa = \frac{1}{2}$  is known as *knowledge error*.

# Matrix Games

## Classical algorithm

**Task:** Find two ones in a same row.

Rewind:

1. Probe random entries  $A(r, c)$  until  $A(r, c) = 1$ .
2. Store the matrix location  $(r, c)$ .
3. Probe random entries  $A(r, \bar{c})$  in the same row until  $A(r, \bar{c}) = 1$ .
4. Output the location triple  $(r, c, \bar{c})$ .

Rewind-Exp:

1. Repeat the procedure Rewind until  $c \neq \bar{c}$ .
2. Use the knowledge-extraction lemma to extract **sk**.

## Average-case running time

**Theorem.** If a  $m \times n$  zero-one matrix  $A$  contains  $\varepsilon$ -fraction of nonzero entries, then the Rewind and Rewind-Exp algorithm make on average

$$\mathbf{E}[\text{probes}|\text{Rewind}] = \frac{2}{\varepsilon}$$

$$\mathbf{E}[\text{probes}|\text{Rewind-Exp}] = \frac{2}{\varepsilon - \kappa}$$

probes where  $\kappa = \frac{1}{n}$  is a *knowledge error*.

**Proof.** We prove this theorem in another lecture.



## Strict time bounds

Markov's inequality assures that for a non-negative random variable probes

$$\Pr [\text{probes} \geq \alpha] \leq \frac{\mathbf{E} [\text{probes}]}{\alpha}$$

and thus Rewind-Exp succeeds with probability at least  $\frac{1}{2}$  after  $\frac{4}{\varepsilon - \kappa}$  probes.

If we repeat the experiment  $\ell$  times, we the failure probability goes to  $2^{-\ell}$ .

# From Soundness to Security

## Soundness and subjective security

Assume that we know a constructive proof:

If for fixed  $pk$  a potentially malicious  $t$ -time prover  $\mathcal{P}_*$  succeeds with probability  $\varepsilon > \kappa$ , then a knowledge extractor  $\mathcal{K}^{\mathcal{P}}$  that runs in time  $\tau(\varepsilon) = O\left(\frac{t}{\varepsilon - \kappa}\right)$  outputs  $sk$  with probability  $1 - \varepsilon_2$ .

and we *believe*:

No human can create a  $\tau(\varepsilon_1)$ -time algorithm that computes  $sk$  from  $pk$  with success probability at least  $1 - \varepsilon_2$ .

then it is *rational* to assume that:

No human without the knowledge of  $sk$  can create a algorithm  $\mathcal{P}_*$  that succeeds in the proof of knowledge with probability at least  $\varepsilon_1$ .

**Caveat:** For each fixed  $pk$ , there exists a trivial algorithm that prints out  $sk$ . Hence, we cannot get objective security guarantees.

## Soundness and objective security

Assume that we know a constructive proof:

If for a fixed  $\mathbf{pk}$  a potentially malicious  $t$ -time prover  $\mathcal{P}_*$  succeeds with probability  $\varepsilon > \kappa$ , then a knowledge extractor  $\mathcal{K}^{\mathcal{P}}$  that runs in time  $\tau(\varepsilon) = O\left(\frac{t}{\varepsilon - \kappa}\right)$  outputs  $\mathbf{sk}$  with probability  $1 - \varepsilon_2$ .

and know a mathematical fact that any  $\tau(2\varepsilon_1)$ -time algorithm  $\mathcal{A}$

$$\Pr [(\mathbf{pk}, \mathbf{sk}) \leftarrow \text{Gen} : \mathcal{A}(\mathbf{pk}) = \mathbf{sk}] \leq \varepsilon_1(1 - \varepsilon_2)$$

then we can prove an average-case security guarantee:

For any  $t$ -time prover  $\mathcal{P}_*$  that does not know the secret key

$$\text{Adv}^{\text{ent-auth}}(\mathcal{A}) = \Pr \left[ (\mathbf{pk}, \mathbf{sk}) \leftarrow \text{Gen} : \mathcal{V}^{\mathcal{P}_*}(\mathbf{pk}) = 1 \right] \leq 2\varepsilon_1 .$$

# Objective security guarantees

## Schnorr identification scheme

If  $\mathbb{G}$  is a DL group, then the Schnorr identification scheme is secure, where the success probability is averaged over all possible runs of the setup  $\text{Gen}$ .

## Fiat-Shamir identification scheme

Assume that modulus  $n$  is chosen from a distribution  $\mathcal{N}$  of RSA moduli such that on average factoring is hard over  $\mathcal{N}$ . Then the Fiat-Shamir identification scheme is secure, where the success probability is averaged over all possible runs of the setup  $\text{Gen}$  and over all choices of modulus  $n$ .